



VJ

PATENT
Customer No. 22,852
Attorney Docket No. 01197.0255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No.: 7,291,755
Inventors: TAKASHI TSUNODA, et al.
Issue Date.: November 6, 2007
For: PROCESS FOR PRODUCING ALCOHOL
AND/OR KETONE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate
MAR 20 2009
of Correction

2nd REQUEST FOR CERTIFICATE OF CORRECTION

Sir:

Pursuant to 35 U.S.C. § 255 and 37 C.F.R. § 1.323, this is a second request for the issuance of a Certificate of Correction in the above-identified patent. A first request for the issuance of a Certificate of Correction was filed on August 15, 2008, with a Request Under 37 C.F.R. § 3.81(b) to Correct Assignee's Name on Issued Patent. Applicant is in receipt of a communication from the office denying the previous request. This request accompanies a renewed request first forwarded to the Petitions Branch for consideration.

A copy of PTO Form 1050 is appended. The complete Certificate of Correction involves one (1) page.

The mistake identified in the attached form is of a clerical or typographical nature, or of minor character, and resulted from an error made in good faith by applicants. Issuance of the Certificate of Correction containing the correction is earnestly requested.

Applicants paid the required fee of \$100.00 with the first Request for Certificate of Correction on August 15, 2008. Any additional fees that may be due in connection with the filing of this request to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 17, 2009

By: 

Arthur S. Garrett
Reg. No. 20,338

ASG/FPD/pan

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. 7,291,755
DATED: November 6, 2007
INVENTORS: Takashi TSUNODA, et al.

It is hereby certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, item (73), "Asahi Kasei Chemicals Corporation" should read
--Maruzen Petrochemical Co., Ltd.--.

MAILING ADDRESS OF SENDER

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
901 New York Avenue, NW
Washington, D.C. 20001-4413

Patent No. 7,291,755

No. of additional copies
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1197-0255

UNITED STATES PATENT AND TRADEMARK OFFICE

ASG

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 12, 2006

PTAS

ARTHUR S. GARRETT, ESQ.
FINNEGAN, HENDERSON, FARABOW, ET AL
901 NEW YORK AVENUE, N.W.
WASHINGTON, DC 20001-4413

RECEIVED

103187922A

MAY 24 2006

**Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.**

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/28/2006

REEL/FRAME: 017611/0173
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 1197-255

ASSIGNOR:

ASAHI KASEI CHEMICALS CORPORATION

DOC DATE: 01/20/2006

ASSIGNEE:

MARUZEN PETROCHEMICAL CO., LTD.
25-10, HACCHOBORI 2-CHOME, CHUO-KU
TOKYO, JAPAN

SERIAL NUMBER: 10541367

FILING DATE: 07/05/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: PROCESS FOR PRODUCING ALCOHOL AND/OR KETONE

✓

DATA 5/24/06

017611/0173 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

CANCELLED

03-02-2006

MAR 17 2009

2/28/6



103187922

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 1197-255
Attorney Customer Number: 22,852

Mail Stop Assignment Recordation Services

To the Director of the U.S. Patent and Trademark Office
Please record the attached original documents or copy thereof.

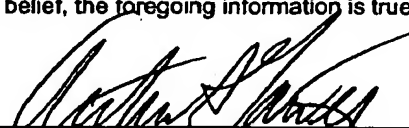
1. Name of conveying party(ies): ASAHI KASEI CHEMICALS CORPORATION		2. Name and address of receiving party(ies): Name: MARUZEN PETROCHEMICAL CO., LTD.	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Internal Address:	
3. Nature of conveyance:		Street Address: 25-10, HACCHOBORI 2-CHOME, CHUO-KU	
<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger	City: TOKYO	
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	Country: JAPAN	Zip Code:
<input type="checkbox"/> Joint Research Agreement	<input type="checkbox"/> Government Interest Assignment	Additional name(s) & Address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<input type="checkbox"/> Executive Order 9494, Confirmatory License	<input type="checkbox"/> Other:		
Execution Date: JANUARY 20, 2006			

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:	
A. Patent Application Number(s): 10/541,367	B. Patent Number(s):
Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: ONE
Name: ARTHUR S. GARRETT, ESQ.	7. Total fee (37 CFR 1.21(h) and 3.41): \$40 <input checked="" type="checkbox"/> Enclosed (Please charge deficiency or credit overpayment to deposit account 06-0916) <input type="checkbox"/> Authorized to be charged to deposit account
Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.	
Street Address: 901 New York Avenue, N.W.	
City: Washington, D.C.	
State: Zip: 20001-4413	8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signed: 
ARTHUR S. GARRETT
REG. NO. 20,338

03/02/2006
01 FC:0021

03/02/2006
49.00 DP
FEBRUARY 28, 2006

Date

Total number of pages including cover sheet, attachments and documents: TWO

12/2005

ASSIGNMENT

WHEREAS, ASAHI KASEI CHEMICALS CORPORATION of TOKYO, JAPAN, as assignor, is the owner of United States Patent Application No. 10/541,367, filed July 5, 2005 for PROCESS FOR PRODUCING ALCOHOL AND/OR KETONE and the invention described and claimed therein; and

WHEREAS, MARUZEN PETROCHEMICAL CO., LTD., a corporation of JAPAN, whose post office address is 25-10, HACCHOBORI 2-CHOME, CHUO-KU, TOKYO, JAPAN, as assignee, is desirous of securing the entire right, title, and interest in and to the application for Letters Patent.

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, assignor has sold, assigned, transferred, and set over, and does hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, the entire right, title, and interest in and to this application and the Letters Patent to be granted thereon and any reissue thereof.

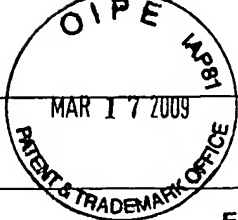
ASAHI KASEI CHEMICALS CORPORATION



Name: Shigeo KOBAYASHI

Title: General Manager of Intellectual Property Dept.

Date: January 20, 2006



Electronic Acknowledgement Receipt

EFS ID:	3791475
Application Number:	10541367
International Application Number:	
Confirmation Number:	8933
Title of Invention:	PROCESS FOR PRODUCING ALCOHOL AND/OR KETONE
First Named Inventor/Applicant Name:	Takashi Tsunoda
Customer Number:	22852
Filer:	Ernest F. Chapman/Terri McMillan-Solomon
Filer Authorized By:	Ernest F. Chapman
Attorney Docket Number:	01197.0255
Receipt Date:	15-AUG-2008
Filing Date:	05-JUL-2005
Time Stamp:	17:40:48
Application Type:	U.S. National Stage under 35 USC 371

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 230
RAM confirmation Number	2506
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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1		01197-0255-requests.pdf	107591 829c044e2c87b939deab707ecb68459c c51e4721	yes	4
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	Multipart Description/PDF files in .zip description			
	Document Description	Start	End	
	Miscellaneous Incoming Letter	1	2	
	Request for Certificate of Correction	3	4	

Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8326 e6a2070de0fd3c13793b2b1a40e02a0d 4d77d2e1	no	2
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Warnings:

Information:

Total Files Size (in bytes):			115917		
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Acknowledgement Receipt

The USPTO has received your submission at **17:40:48** Eastern Time on **15-AUG-2008**.

\$ **230** fee paid by e-Filer via *RAM* with Confirmation Number: 2506.

eFiled Application Information

EFS ID	3791475
Application Number	10541367
Confirmation Number	8933
Title	PROCESS FOR PRODUCING ALCOHOL AND/OR KETONE
First Named Inventor	Takashi Tsunoda
Customer Number or Correspondence Address	22852
Filed By	Ernest F. Chapman/Terri McMillan-Solomon
Attorney Docket Number	01197.0255
Filing Date	05-JUL-2005
Receipt Date	15-AUG-2008
Application Type	U.S. National Stage under 35 USC 371

Application Details

Submitted Files	Page Count	Document Description	File Size	Warnings
01197-0255-requests.pdf	4		107591 bytes	◆ PASS
		Document Description	Page Start	Page End
		Miscellaneous Incoming Letter	1	2
		Request for Certificate of Correction	3	4
fee-info.pdf	2	Fee Worksheet (PTO-06)	8326 bytes	◆ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

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If you need help:

- *Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail EBC@uspto.gov for specific questions about Patent e-Filing.*
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Receipt

Congratulations! You are ready to pay fees associated with your submission.

17:40:48 Eastern Time on 15-AUG-2008 You have submitted your application files and an Acknowledgement Receipt will be issued.

The following is NOT an *Acknowledgement Receipt*, but is provided for your information.

The following identification numbers are associated with your submission.

Application Number	10541367
Confirmation Number	8933
EFS ID	3791475

The following fees are due.

Total Fees Due: \$ 230

The USPTO's RAM payment server is ready to accept your fee payments using a USPTO Deposit Account, an Electronic Funds Transfer, or one of the following credit cards: *American Express*, *Discover*, *MasterCard*, or *Visa*. Please have all access codes, account numbers and account holder information ready to ensure a successful online payment experience.

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If you need help:

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- Send general questions about USPTO programs to the USPTO Contact Center (UCC).
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Electronic Patent Application Fee Transmittal

Application Number:	10541367			
Filing Date:	05-Jul-2005			
Title of Invention:	PROCESS FOR PRODUCING ALCOHOL AND/OR KETONE			
First Named Inventor/Applicant Name:	Takashi Tsunoda			
Filer:	Ernest F. Chapman/Terri McMillan-Solomon			
Attorney Docket Number:	01197.0255			
Filed as Large Entity				
U.S. National Stage under 35 USC 371 Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Petition fee- 37 CFR 1.17(h) (Group III)	1464	1	130	130
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Certificate of correction	1811	1	100	100

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				230



PATENT
Customer Number 22,852
Attorney Docket No. 01197.0255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No.: **7,291,755**)
)
Issued: **November 6, 2007**) Group Art Unit: **1754**
)
Inventors:) Examiner: **Sikarl A. WITHERSPOON**
 TAKASHI TSUNODA, et al.)
)
For: **PROCESS FOR PRODUCING ALCOHOL**)
 AND/OR KETONE)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST UNDER 37 C.F.R. § 3.81(b)
TO CORRECT ASSIGNEE'S NAME ON ISSUED PATENT

Sir:

Applicants hereby request that the above-identified U.S. patent be corrected to identify the correct name of the assignee.

When applicants paid the issue fee in the above-identified case, applicants incorrectly identified the assignee's name on Form PTOL-85 as ASAHI KASEI CHEMICALS CORPORATION. This error was an inadvertent clerical error and occurred in good faith. Correction of this error would not constitute new matter or require reexamination.

The correct assignee's name is **MARUZEN PETROCHEMICAL CO., LTD.** of Tokyo, Japan, by virtue of an assignment from ASAHI KASEI CHEMICALS CORPORATION recorded at Reel/Frame 017611/0173 on February 28, 2006. This assignment was submitted for recordation as set forth in 37 C.F.R. § 3.11 on

February 28, 2006, before the above-identified U.S. patent issued on November 6, 2007.

A Request for Certificate of Correction under 37 C.F.R. § 1.323 accompanied by a fee of \$100.00 therefor accompanies this request.

Applicants also enclose the processing fee of \$130.00 under 37 C.F.R. 1.17(i) for this request.

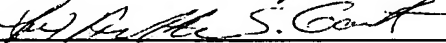
In view of the foregoing, Applicants respectfully request that the Commissioner grant this request under 37 C.F.R. § 3.81(b) to correct the assignee's name on the issued patent and issue a Certificate of Correction under 37 C.F.R. § 1.323.

The Commissioner is hereby authorized to charge any other additional fees due under 37 C.F.R. §1.16 or §1.17 to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.



By: 
Arthur S. Garrett
Reg. No. 20,338

Dated: August 15, 2008

ASG/tlm
Enclosure

ERNEST F. CHAPMAN
Reg. No. 25,961

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No.: 7,291,755)
Inventors: TAKASHI TSUNODA, et al.)
Issue Date.: November 6, 2007)
For: PROCESS FOR PRODUCING ALCOHOL)
AND/OR KETONE)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION

Sir:

Pursuant to 35 U.S.C. § 255 and 37 C.F.R. § 1.323, this is a request for the issuance of a Certificate of Correction in the above-identified patent. A copy of PTO Form 1050 is appended. The complete Certificate of Correction involves one (1) page.


The mistake identified in the attached form is of a clerical or typographical nature, or of minor character, and resulted from an error made in good faith by applicants. Issuance of the Certificate of Correction containing the correction is earnestly requested.

Authorization is hereby given to charge the amount of \$100.00 (the fee set forth in 37 C.F.R. § 1.20(a)), to cover the costs of issuing this Certificate, and any additional fees due in connection with the filing of this request to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: August 15, 2008

By: 
Arthur S. Garrett
Reg. No. 20,338

ASG/FPD/tlm

ERNEST F. CHAPMAN
Reg. No. 25,961

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. 7,291,755
DATED: November 6, 2007
INVENTORS: Takashi TSUNODA, et al.

It is hereby certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, item (73), "Asahi Kasei Chemicals Corporation" should read

--Maruzen Petrochemical Co., Ltd.--

MAILING ADDRESS OF SENDER

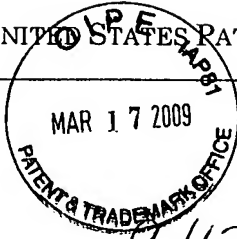
Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
901 New York Avenue, NW
Washington, D.C. 20001-4413

Patent No. 7,291,755

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Alexandria, VA 22313-1450
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9/12/08

Patent No. : 7,291,755 B2
Application No : 10/541,367
Inventor(s) : Tsunoda, et al.
Issued : November 6, 2007
Title : PROCESS FOR PRODUCING ALCOHOL
AND/OR KETONE

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing ***incorrect or erroneous*** assignment data, *before* issuance of a Certificate of Correction, under 37 CFR 1.323 (*see Manual of Patent Examining Procedures (M.P.E.P) Chp.1400, sect. 1481*). This procedure is required *at any time after the issue fee is paid*, including after issuance of the patent.

In view of the foregoing, your request is hereby denied.

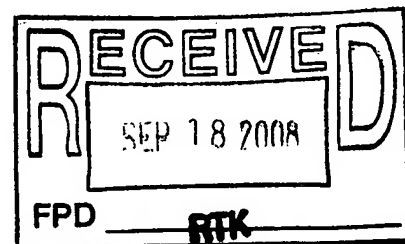
A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1.117(h) (currently \$130);
- B. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- C. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of *the date* the assignment was submitted for recordation.

In the Request, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450



SEP 18 2008
K. Alleyne

9/17/08
J

By hand: Customer Service Window
Mail Stop Petitions
Randolph Building
401 Dulany Street
Alexandria, VA 22314

By fax: (571) 273-8300
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

Valerie Jackson
For Mary F. Diggs
Decisions & Certificates
of Correction Branch
(703) 308-9390 ext. 114

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
901 New York Avenue, NW
Washington, D.C. 20001-4413

vj/MFD